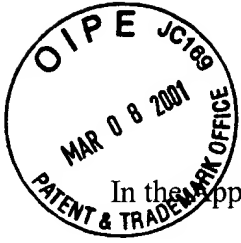


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UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 12688US01)

In the application of:

**DAVID A. RIEGER**

Serial No.: 09/752,490

Filed: December 28, 2000

For: SECURITY INQUIRY  
MANAGEMENT TECHNIQUES

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, D.C. 20231  
on March 5, 2001.

By: Ronald E. Larson  
Ronald E. Larson  
Reg. No. 24,478

**TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENT  
AFTER APPLICATION FILING DATE**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**ENCLOSURES**

Enclosed are the following:

A completed PTO form 1449 which has 1 page.

A copy of each printed or patented reference listed in the enclosed form 1449 and not previously provided to or by the PTO in this application. Nine references are enclosed.

A Information Disclosure Statement describing the reference listed in the enclosed Form 1449.

**FEE DETERMINATION**

No fee is believed to be due because this statement and enclosures are being filed prior to receipt of the first Official Action reflecting an examination on the merits.

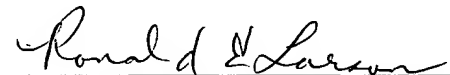
The Commissioner is hereby authorized to charge any additional fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017. A duplicate copy of this document is enclosed

### REQUEST FOR CONSIDERATION

This paper and enclosures are believed to be entitled to consideration under 37 C.F.R. § 1.97, based on the facts stated above. The Examiner is required to initial both copies of the enclosed PTO-1449 (modified) and return one copy to the applicant(s) to indicate consideration of the enclosed references.

Respectfully submitted,

March 5, 2001  
DATE

  
\_\_\_\_\_  
Ronald E. Larson  
Reg. No. 24,478  
Attorney for applicant(s)

McAndrews, Held & Malloy, Ltd.  
500 West Madison Street, 34<sup>th</sup> Floor  
Chicago, IL 60661  
(312) 775-8000 (phone)  
(312) 775-8100 (fax)



UNITED STATES PATENT AND TRADEMARK OFFICE  
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Ronald E. Larson  
Reg. No. 24,478

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington DC 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, it is respectfully requested that the following references be  
considered in the examination of the above-identified patent application:

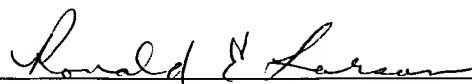
US PATENT NO.	NAME	DATE
6,035,286	Fried	03/07/00
5,132,899	Fox	7/21/92
5,761,442	Barr et al.	6/02/98
5,784,696	Meinikoff	7/21/98
5,819,238	Fernholz	10/06/98
5,884,287	Edesess	03/16/99
5,930,774	Chennault	7/27/99
5,978,778	O'Shaughnessy	11/02/99
6,035,286	Fried	03/07/00
6,161,099	Harrington, et al.	12/12/2000

The above-identified reference is listed on the attached PTO Form 1449, and a copy is also enclosed herewith.

This submission is in no way intended as an admission that the above-cited references constitute prior art under any subsection of 35 U.S.C. § 102. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art.

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this First Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Account No. 13-0017.

Respectfully submitted,



Ronald E. Larson  
Registration No. 24,478  
Attorney for Applicant

March 5, 2001  
Date

MCANDREWS, HELD & MALLOY, LTD.  
500 W. Madison, 34th Floor  
Chicago, IL 60661  
Telephone: (312) 775-8000  
Facsimile: (312) 775-8100

Please type a plus sign (+) inside this box → ☒

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1449A/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office		Complete if Known	
<b>LIST OF PRIOR ART CITED BY APPLICANT</b> (use as many sheets as necessary)				Application Number	09/752,490
				Filing Date	12/28/2000
				First Named Inventor	David A. Rieger
				Group Art Unit	2161
				Examiner Name	
Sheet 1		Of 1		Attorney Docket Number	12688US01

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	A1	5,132,899		Fox	7/21/92
	A2	5,761,442		Barr et al.	6/02/98
	A3	5,784,696		Meinikoff	7/21/98
	A4	5,819,238		Fernholz	10/06/98
	A5	5,884,287		Edesess	03/16/99
	A6	5,930,774		Chennault	7/27/99
	A7	5,978,778		O'Shaughnessy	11/02/99
	A8	6,035,286		Fried	03/07/00
	A9	6,161,099		Harrington, et al.	12/12/2000

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Foreign Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
			Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, country, where published, source.	T <sup>2</sup>
	1		
	2		

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation not in conformance and not considered. Include copy of this form with next communication to applicant.